



# Program Guide for Certification

## Revision History

Version	Date	Notes
1.0	February 2023	<ul style="list-style-type: none"><li>• Initial Release</li></ul>
1.1	February 2024	<ul style="list-style-type: none"><li>• Removed Test from the title.</li><li>• Added a new requirement for Certification Requester to demonstrate components are on prplWare.</li><li>• Clarified that the Review Committee is for technical review. The prpl Foundation board has the final decisions on all listings.</li></ul>

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This chapter contains important information about PRPL and this document (hereinafter 'This PRPL Document').

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## 2 Purpose

### 2.1 Purpose

The purpose of this document is to define the requirements for products participating in the prpl Certification Program. The document does not define specific test cases, but rather referencing how the test requirements MUST be used to achieve certification.

This document also defines other operational aspects of the prpl Certification Program, such as certification of similar products, maintenance of the program test plans, documentation, and how the certification may be used by companies with certified products.

### 2.2 Scope

This document applies only to operational aspects of the certification program such as:

1. What information and testing is required for products to be submitted for self certification.
2. Defining Mandatory and Optional requirements for products certification.
3. Defining the review process for certifications.
4. How companies with certified products may use the certification log and other documentation about the certification program.
5. How the test plan and program documents will be maintained and updated.
6. How companies may certify similar equipment, such as derivative products with a subset of features.

## 3 References and Terminology

### 3.1 Conventions

In these Guidelines, several words are used to signify the requirements of the specification. These words are always capitalized. More information can be found in RFC 8174 (BCP 14).

<b>MUST</b>	This word means that the definition is an absolute requirement.
<b>MUST NOT</b>	This phrase means that the definition is an absolute prohibition of the specification.
<b>SHOULD</b>	This word means that there could exist valid reasons in particular circumstances to ignore this item, but the full implications need to be understood and carefully weighed before choosing a different course.
<b>SHOULD NOT</b>	This phrase means that there could exist valid reasons in particular circumstances when the particular behavior is acceptable or even useful, but the full implications need to be understood and carefully weighed.
<b>MAY</b>	This word means that this item is one of an allowed set of alternatives.

### 3.2 Definitions

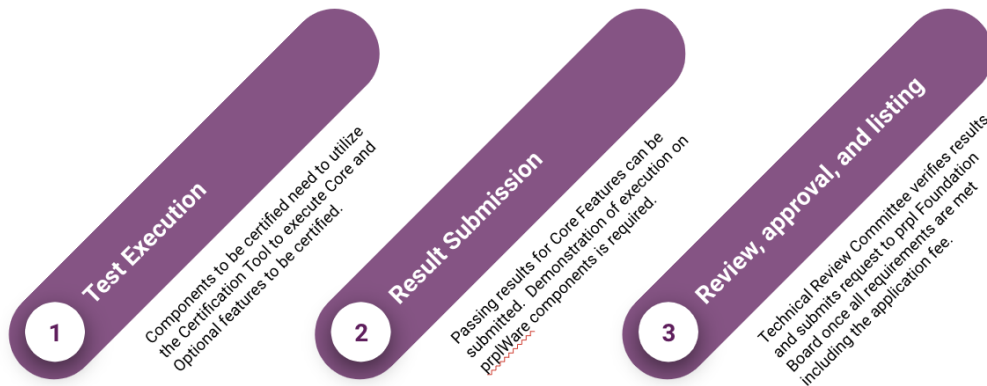
The following terminology is used throughout these Guidelines.

Certificate	When a Certification Requester completes all the Core Features using Certification Tests with the Certification Tools.
Certification Requester	Component asking for the certification with the test results.
Certification Tool	Required tool(s) used for certification process.
Certification Tests	Test cases required for the certification.
Core Feature(s)	Test case that <b>MUST</b> be passed by the product applying for certification.
Optional Feature(s)	Test cases that are optional and therefore not required to achieve certification. Optional test cases might be based on features which might not be implemented on all products. Products passing optional test case(s) <b>MAY</b> include the optional feature(s) in their certification listing.



Certification Review Committee	A group of selected individuals to review submissions from Certification Requesters.
Major Version	Compatibility requirements may change when a prplWare component is revised. A change in compatibility requires a change in test specification.
Minor Version	Additional tests to support optional features or additional product types.
Patch Version	Test issues cause the removal or modification of tests. It shouldn't impact any previous certifications.
Test Data	Test results come from Certification Tools MUST include test tool logs and SHOULD include pcaps.

### 3.3 Certification Workflow



## 4 Certification Requester

### 4.1 Application Requirements

Any prpl member MAY apply for certification as long the certification request is considered an active certification program.

Components applying for certification MUST meet all the requirements defined in this section

1. MUST be a valid prpl member.
2. MUST complete all the additional requirements before applying for certification.
3. MUST submit all the required test results.
4. MUST include information about the product under test, including at least the product manufacturer, product model, and product software version.
5. MUST gather and submit all necessary documentation needed by the Certification Review Committee (TBD).
6. MUST demonstrate that testing was performed on prplWare components specified by individual certifications.

### 4.2 Certification Testing Requirements

To obtain a Certificate the following Certification Testing Requirements are:

1. Certification Requester MUST pass all the Core Feature(s) in the Certification Tests.
2. Certification Requester MUST pass all the Optional Feature(s) in the Certification Tests claimed on the application.
3. Testing MUST be completed using an approved Certification Tool (refer to Section 5).
4. Testing results MUST NOT be altered in any way.
5. Testing results MUST be reviewed and approved by Certification Review Committee.

## 5 Certification Tool Requirements

Certification testing **MUST** be completed using an approved prpl Certification Tool. Certification Tools **MUST** be approved by the Cert TWG. Certification Tools **MUST** be available independently of each certification program.

PRPL **MUST** maintain a list of approved certification tools. This list **MUST** indicate the version of the approved certification tool and the version of the certification test plan for which the tool is approved. Certification tools **MUST** be available for utilization by prpl members and non-members in their premises, locally or remotely, for CPE boards of any type.

Certification Tools **MUST NOT** limit the number of test executions in software. Certification Tools **SHOULD** be developed that will enable utilization of other testing or certifications, provided that it doesn't engender additional non-specifically-funded development costs.

### 5.1 Reporting

The report output from the Certification Tool **MUST** meet the following requirements:

1. **MUST** indicate the version of the Certification Tool used to generate the report.
2. **MUST** use the same test case labels and names as defined in the Certification Tests.
3. **MUST** be a PDF document that contains pass/fail for all test results.
4. **MUST** securely generate and sign reports to not allow altering in any way.
5. **MUST** provide traceability to which version of the validated test plan was run.
6. **MUST** provide traceability to which version of the test scripts were run.

### 5.2 Test Data

Test Data is collected while executing the testing using a Certification Tool. A Certification Test is a collection of test cases which have the following data:

1. Each test case **MUST** include certification tool logging or explanation of the results.
2. Each test case **SHOULD** include a packet capture and relevant data.
3. Each test metric within a test case **MUST** indicate its associated pass/fail result.
4. Each test case **MUST** indicate a cumulative or overall pass/fail result which is a Logical AND of all test metrics.
5. Each test case **MUST** have a method for verifying logs and other test data are not altered in any way.

## 6 Certification Technical Review Committee

The Certification Technical Review Committee is a group of volunteers from prpl members responsible for reviewing Certification Requesters applications and test data. Once the technical components of the review is completed by the review committee it is sent to the prpl board for final approval before listing on the prpl Certification site.

### 6.1 Application Review process

The Certification Review Committee **MUST** approve the technical components of all applications for prpl Certification.

Applications will be assigned to a member of the committee with experience in the component of the application (prplOS, prplMesh, LCM, etc). The committee member performing the review will be selected by the Cert TWG Chair in an equal and fair manner to not overburden one member of the review committee. . The Cert TWG Chair **MUST NOT** assign a reviewer of the same company as the applicant. The Cert TWG Chair **MUST NOT** assign a reviewer that has a potential conflict of interest (eg direct competitor, ...).

The committee member will perform the following actions:

- Review a small sample of test data to confirm testing was executed appropriately.
- **MUST** verify the proper versions of the Certification Tool and Certification Tests were used in the test data.
- **MUST** confirm all the Core and Optional Features on the applications have been performed and submitted as part of the test data.
- **MUST** send the results of the review to the certification review committee mailing list allowing time for all the members to cross check all applications.
- **MUST** confirm that the testing was performed on the prplWare components as required for the certification.
  - Video, access to the component, or code inspection are all viable options for this step.

### 6.2 Committee Selection

The selection committee **MUST** be at least 4 members and **SHOULD** have at least 2 expert reviewers for each component of the certification program.

The membership **SHOULD** have the following members:

- One member from a test tool member
- One member from an operator.
- One member from a system Integrator
- One member from a silicon vendor

## 7 Program Lifecycle

### 7.1 Program Guide

The Program Guide has two version numbers <Major>.<Minor>. Minor is reserved for editorial, bug fixes, and clarifications. All other changes MUST update the Major number. Each revision MUST update the Revision History with a description of changes. When approved changes in this document take effect immediately.

### 7.2 Test Plans

The following describes the events in the evolution of the certification programs and the impact on members.

Each test plan has the following format for version numbers <Major>.<Minor>.<Patch>.

Condition	Impact
Patch Revision	When a patch number increases for a test plan it becomes available effective immediately. There is no need for a grace period as changes should be addressing issues.
Minor Revision	When a minor number increases for a test plan it becomes effective immediately as part of an active certification program. There will be a grace period of four weeks after a Minor Revision where previous version results as well as the new Minor Revision will be accepted.
Major Revision	When a major number increases for a test plan it becomes effective immediately as part of an active certification program. There will be a grace period of six months after a Major Revision where previous version results as well as the new Major Revision will be accepted.

### 7.3 Active Certification Programs

Active certification programs are defined as available test plans for use by certification requesters. When a grace period for a test plan expires it's no longer considered an active certification program.